PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 6.04.03.

**Application Number** 10/024,441 TRANSMITTAL Filing Date December 18, 2001 **FORM First Named Inventor** Ram Gopal Lakshmi Narayanan (to be used for all correspondence after initial filing) 2161 Group Art Unit **Examiner Name** TBA Total Number of Pages in This Submission Attorney Docket Number 005288.00020 ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application**  □ Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Express Abandonment Request Request for Refund RECEIVED CD, Number of CD(s) MAY 2 2 2003 Certified Copy of Priority Remarks Document(s) **GROUP 3600** Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Bradley C. Wright, Reg. No. 38,061 Individual name Signature Date May 19, 2003 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

Signature



## PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of        | ) | Group Art Unit: 2161             |
|-----------------------------|---|----------------------------------|
| Ram Gopal Lakshmi Narayanan | ) | Examiner: TBA                    |
| Serial No. 10/024,441       | ) | Attorney Docket No. 005288.00020 |
| Filed: December 18, 2001    | ) |                                  |

For: SYSTEM AND METHOD USING LEGACY SERVERS IN RELIABLE SERVER

**POOLS** 

# **INFORMATION DISCLOSURE STATEMENT**

MAY 2 2 2003 GROUP 3600

Commissioner for Patents Alexandria, VA 22313

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed is a PTO Form-1449 listing art for consideration by the Examiner. We hereby certify under 37 CFR §1.97(e)(1) that each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

We further certify under 37 C.F.R. § 1.704 (d) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

These documents were cited by the International Searching Authority for corresponding International Application No. PCT/IB02/05404 on May 13, 2003. A copy of the search report is also enclosed.

Consideration of this information is respectfully requested.

### Information Disclosure Statement

Serial No. 10/024,441

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

Respectfully submitted,

Date: May 19, 2003

Bradley C. Wright

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Reg. No. 49,024

BCW/RAD/mmd



# Information Disclosure Statement

| Scriai Ivo.   | . 10/024,441                                 |                  |                                 |                           |                  |               | Sheet <u>1</u> o | of 1                  |  |
|---|--|------------------|---------------------------------|---------------------------|------------------|---------------|------------------|-----------------------|--|
| PTO-1449 (Modified)   |  |                  |                                 | . DOCKET NO.<br>3.00020   |                  | SERIAL NUMBER |                  |                       |  |
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT |  | APPLI<br>Ram G   | CANT<br>Gopal Lakshmi Narayanan | •                         |                  |               |                  |                       |  |
|   |  |                  | LING DATE GROUP ART UNIT 2161   |                           |                  |               |                  |                       |  |
|   |  |                  | U.S. PA                         | ATENT DOCUMENTS           |                  |               |                  |                       |  |
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER                           | DATE             |                                 | NAME                      | CLA              | SS            | SUB<br>CLASS     | FILING<br>DATE        |  |
|   | 5,729,689                                    | 3/17/98          |                                 | Allard et al.             | RE               | ·C            | EIV              | ED                    |  |
|   |  |                  |                                 |                           | M                | ΙΑΥ           | 2 2 20           | 03                    |  |
|   |  |                  |                                 |                           | GR               | 0             | JP 3             | 600                   |  |
|   |  |                  | <br>FOREIGN                     | N PATENT DOCUMENT         | 'S               |               |                  |                       |  |
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER                           | DATE             |                                 | COUNTRY                   | CLAS             | s             | SUB<br>CLASS     | TRANSLATION<br>YES/NO |  |
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|   | OTH<br>Search Report                         | ER DOCUMEN       | NTS (Inclu                      | ding Author, Title, Date, | Pertinent Pages  | s, Etc        | ::)              |                       |  |
|   | M. Tuexen, et al., "Req                      | uirements for Re | eliable Serv                    | ver Pooling", Network Wor | king Group, Inte | rnet !        | Draft, Nove      | mber 2000,            |  |
|   | R. Gopal, et al., "End N<br>pages 1-36       |                  |                                 | Candidate (ENRP)", Networ |                  |               |                  | -                     |  |
|   | R. Gopal, et al., "Aggre<br>2001, pages 1-43 | gate Server Acc  | ess Protoco                     | ol Candidate (ASAP)", Net | work Working C   | iroup<br>———  | , Internet D     | ratt, July<br>———     |  |
|   |  | <del></del>      |                                 |                           |                  |               |                  |                       |  |

IDS w/1449 form filed: May 19, 2003

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.